

CSI5126. Algorithms in bioinformatics

Phylogeny

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Summary

In this module, we introduce **molecular evolution** concepts. Specifically, we consider building **phylogenetic trees**. The general framework is two-step: **large phylogeny problem** and **small phylogeny problem**. We consider the three main approaches: **distance-based**, **character-based**, and **maximum likelihood**.
General objective

- ❖ **Explain in your own words** the three main approaches to building phylogenetic trees, with sufficient details so that an actual implementation can be made.

Reading

- ❖ Bernhard Haubold and Thomas Wiehe (2006). *Introduction to computational biology: an evolutionary approach*. Birkhäuser Basel. Pages 143-168.

If evolution is true,
why are there still monkeys?

Larry King
(American television and radio host)

Wellcome - Tree of Life



http://www.wellcometreelife.org/video/assets/TOL_6min_720p_download.mov

Evolution - Great Transformations - PBS Nova



- ❖ <https://www.youtube.com/watch?v=kfHxu8sk6bs>
(In particular, see the segment 43:13 – 46:47)
- ❖ <http://www.pbs.org/wgbh/nova/evolution/>

Evidences of evolution



Genetic Tool Kit

(www.pbs.org/wgbh/evolution/library/03/4/l_034_04.html)

Evidences of evolution



The Common Genetic Code

(www.pbs.org/wgbh/evolution/library/04/4/1_044_02.html)

(<https://www.youtube.com/watch?v=urthr04mqoI>)

([http://nsdl.oercommons.org/courses/
the-common-genetic-code/view](http://nsdl.oercommons.org/courses/the-common-genetic-code/view))

See also:

- ❖ **Evolution - What Darwin Never Knew - NOVA PBS Documentary**
<https://www.youtube.com/watch?v=0V27qy6Gfb4>
- ❖ **Evolving Ideas: How Do We Know Evolution Happens?**
(www.pbs.org/wgbh/evolution/library/11/2/e_s_3.html)
- ❖ **Evolution library**
(www.pbs.org/wgbh/evolution/library)
- ❖ **Understanding Evolution - Misconceptions about evolution and the mechanisms of evolution - Berkeley**

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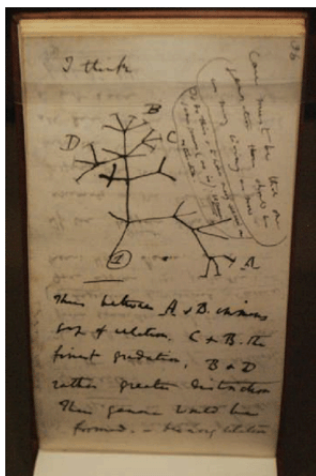
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⇒ Li, W.-H. and Graur, D. (1991) *Fundamentals of Molecular Evolution*. Sinauer.

Terminology

- ❖ A **taxon** (plural **taxa**) or **taxonomic unit** is a species or grouping of species.
- ❖ Naming the different taxonomic levels: kingdom; phylum; class; order; family; genus; species.

Evolution

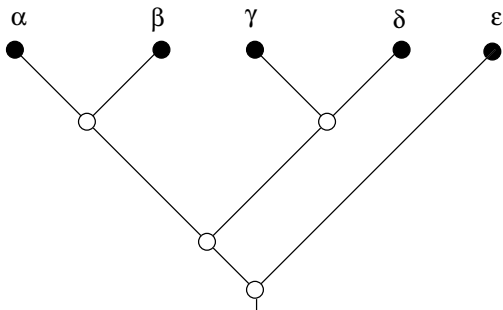


“Charles Darwin’s famous notebook B containing the first known sketch of an **evolutionary tree**.”

A. Rokas (2006) *Genomics and the Tree of Life*. *Science* **313**(5795): 1897–1899.

(DOI: 10.1126/science.1134490)

Terminology (cont.)

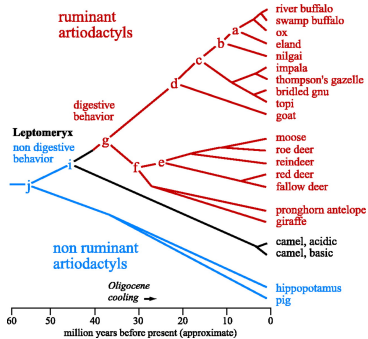


A **rooted tree** for 5 species. Leaves, α , β , γ , δ and ϵ , correspond to **contemporary organisms**, for which data has been collected ($t = 0$). Internal nodes correspond to (inferred) **ancestors** ($t < 0$). **Newick format** of that tree: $(((\alpha, \beta), (\gamma, \delta)), \epsilon)$

Why?

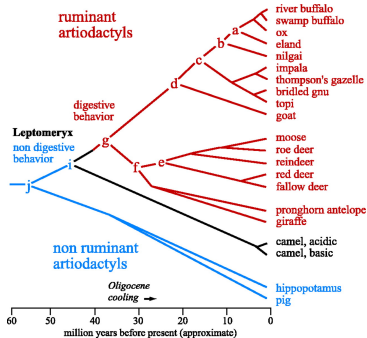
- ❖ **Comparative studies:** understanding gene function, adaptation, correlating the appearance of a trait to environmental factors, etc.;
- ❖ **Drug design:** designing compounds that are specific to a group of organisms;
- ❖ **Bioinformatics:** multiple sequence alignment, protein (secondary) structure prediction, etc.

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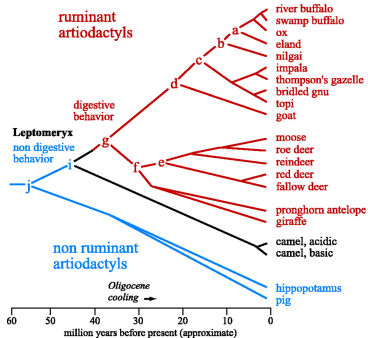
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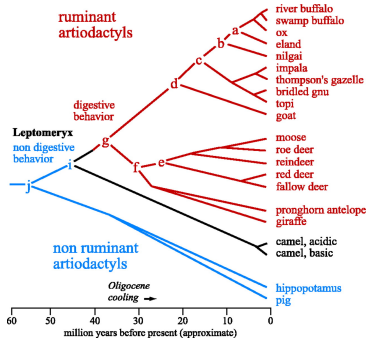


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The Great Apes

Phylogeny

*From the Tree of the Life Website,
University of Arizona*

Orangutan



Gorilla



Chimpanzee



Human



The Great Apes

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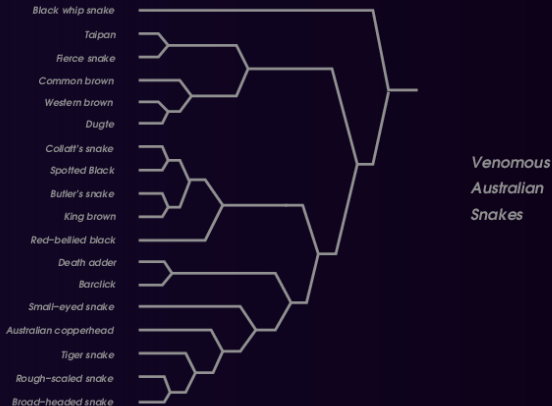
Chimpanzee



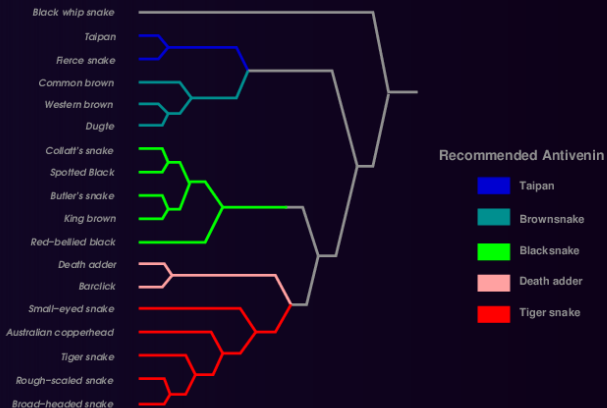
Human



Example: Antivenins



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Scale of The Tree of Life

- 1,5 million described species.
- 10 million to 200 million existing species.
- Reconstruction tools can handle around 500 organisms.
- Reconstruction tools scale exponentially with the amount of data.

Credits for the last four slides

Bernard Moret

1980–2006: University of New Mexico
(www.cs.unm.edu/~moret)

2006–: École Polytechnique Fédérale de Lausanne
(people.epfl.ch/bernard.moret)

See also: Tree of Life Web Project (www.tolweb.org).

Summary of the applications

- ❖ Study **ancestor-descendant** relationships
(Evolutionary biology, adaptation, genetic drift, selection, speciation, etc.)
- ❖ **Paleogenomics**: inferring ancestral genomic information from extinct species
(Comparing Chimpanzee, Neanderthal and Human DNA)
- ❖ Origins of **epidemics**
(Comparing, at the molecular level, various virus strains)
- ❖ **Drug design**: specifically targeting groups of organisms
(Efficient enumeration of phylogenetically informative substrings)
- ❖ **Linguistics**
(Languages tree divergence times)

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Joe Felsenstein is the author of a software package called PHYLIP, which is one the most widely used software system for phylogenetic studies.

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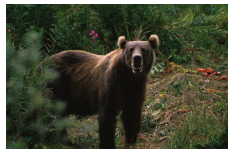
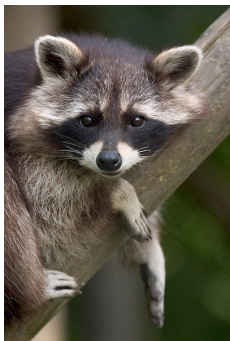
	Characters					
Species	1	2	3	4	5	6
α	1	0	0	1	1	0
β	0	0	1	0	0	0
γ	1	1	0	0	0	0
δ	1	1	0	1	1	1
ϵ	0	0	1	1	1	0

Here, the 0s and 1s are indicating the **presence** or **absence** of a **character** (has feathers?, lays eggs?, curved beak?, flies?, ...).

A character is a measurable feature having well-defined mutually exclusive states.

What's the data? (1/2) (cont.)

- Based on anatomical and behavioural characters, the **panda** was classified as a **raccoon** (1870). Recently, 1985, the panda was re-classified as a **bear** when an analysis based on molecular data was done.



⇒ Images from www.wikipedia.org

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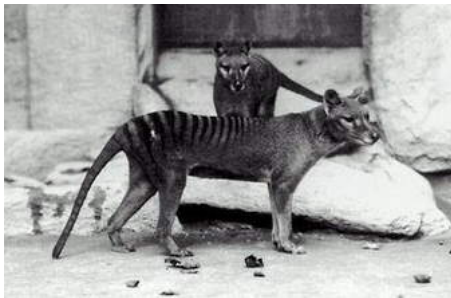


(commons.wikimedia.org/wiki/Image:Ornithorhynchidae-00.jpg)

Genome analysis of the platypus reveals unique signatures of evolution. *Nature* (2008) vol. 453 (7192) pp. 175-183

What's the data? (1/2)

- The **thylacine** (*Thylacinus cynocephalus*) is a now extinct (wolf-like) carnivorous marsupial.



(commons.wikimedia.org/wiki/Image:Thylacinus.jpg)

Hard to resolve relationships using **morphology** and **behaviour** alone

1. Similar characteristics can evolve independently in distantly related organisms — **convergent-evolution**;
2. It is often difficult to find characteristics that are **common** to all the organisms under study.

What's the data? (2/2)

Species	Characters					
	1	2	3	4	5	6
α	A	G	A	C	G	G
β	C	G	T	G	A	G
γ	A	C	A	G	A	G
δ	A	C	A	C	G	A
ϵ	C	G	T	C	G	G

Nowadays, biologists rely on molecular sequence data, in particular DNA or RNA sequences, which allows the comparison of a broader range of species. **What characters, other than molecular sequence data, would allow to compare *E. coli*, yeast, clam shell and human?**

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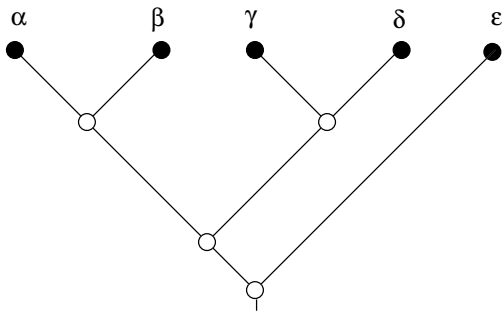
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- ❖ A **species tree** will generally be built using multiple genes (say 100).
- ❖ Since our main interest is to study the methods, **we will limit our discussion to species trees.**

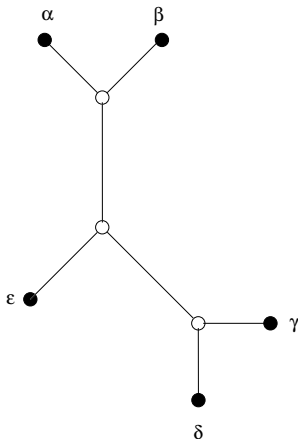
Rooted tree

A **rooted tree** not only gives the relationships between the taxonomic units, it also indicates the direction of evolution (time). Such trees can be scaled or unscaled.



Unrooted tree

An **unrooted tree** specifies the relationships between species.



Rooting the tree

Biologists generally **prefer rooted trees**.

- ❖ Under the **molecular clock assumption**, the root of the tree would be located at equal distance from all the leaves (contemporary organisms);
- ❖ The **outgroup method** consists of including into the analysis an organism that is known to have branched off earlier than the taxa under study (for which paleontological evidences exist, for instance), the root will be placed along the edge connecting the outgroup to the ancestor of the ingroup (taxa under study).

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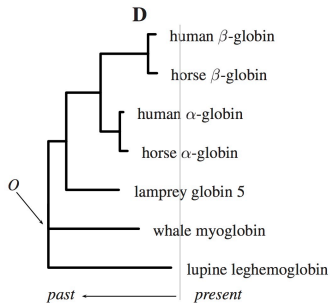
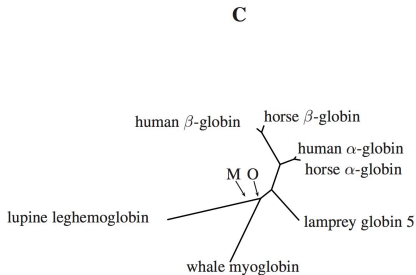
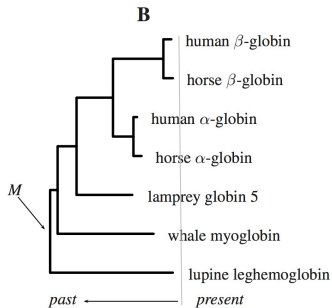
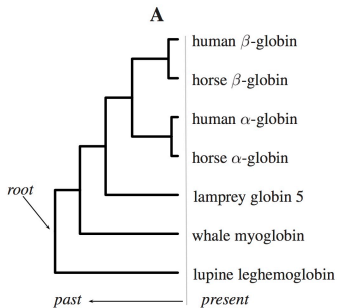
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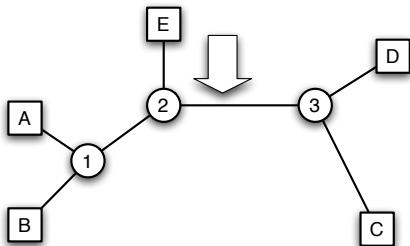
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- “A great deal of ink (and blood) has been spilt over the molecular clock (...)”

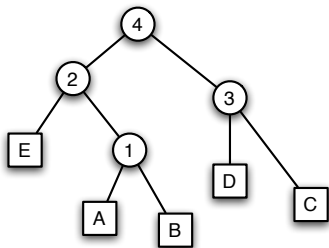
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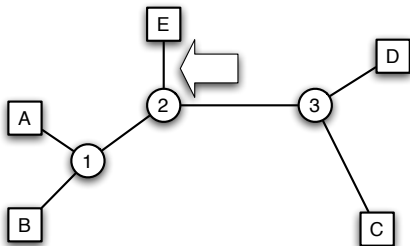
Rooting a tree: molecular clock



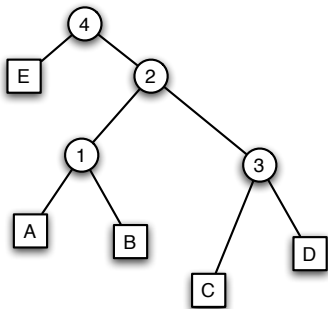
Rooting a tree: molecular clock (cont.)



Rooting a tree: outgroup

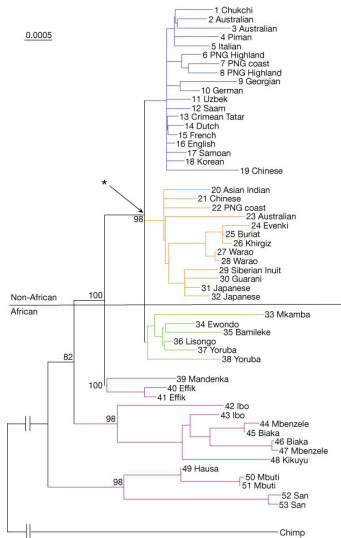


Rooting a tree: outgroup (cont.)



Hypothetically, E is the outgroup — e.g. chimpanzee while the ingroup consists of human populations.

Rooting a tree: outgroup (cont.)



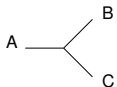
**Neighbour-joining
phylogram based on
complete mtDNA genome
sequences.**

Source: Max Ingman, Henrik
Kaessmann, Svante Pääbo
and Ulf Gyllensten (2000)
Nature 408, 708-713

General paradigm

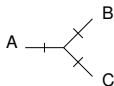
1. **Enumerate** trees;
2. **Select** the “best” tree.

Sequential addition strategy



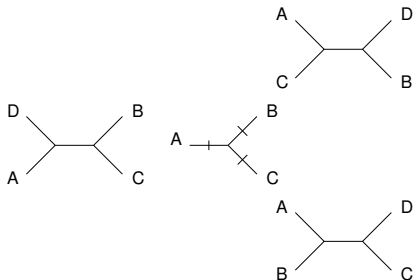
Given three species, there is a single unrooted tree.

Sequential addition strategy



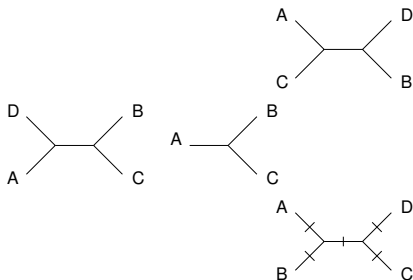
Each branch can serve as an insertion point, adding a new branch off the middle of any existing branch.

Sequential addition strategy



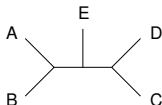
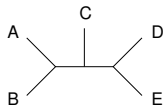
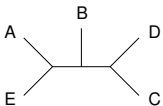
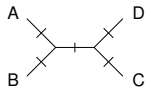
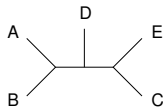
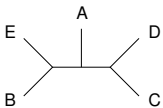
Therefore producing 3 four species unrooted trees.

Sequential addition strategy



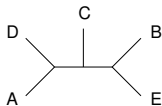
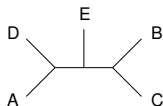
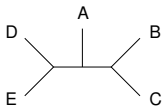
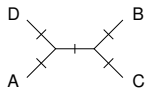
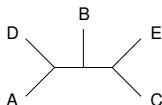
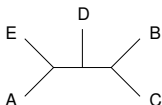
The same process is applied to all 3 four species trees.

Sequential addition strategy



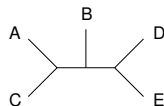
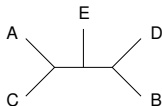
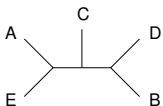
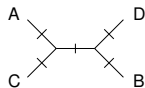
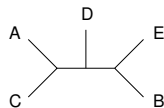
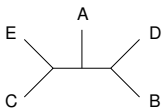
A four species unrooted tree has 5 edges, thus leading to 5 new unrooted trees.

Sequential addition strategy



There will be 15 five species unrooted trees.

Sequential addition strategy



Number of trees

# Species	# rooted trees	# unrooted trees
5	105	15
10	34,459,425	2,027,025
15	213,458,046,676,875	7,905,853,580,625
20	8,200,794,532,637,891,559,375	221,643,095,476,699,771,875

It can be shown that the number of rooted and unrooted trees for a given n (number of species) are as follows.

$$N_{rooted}(n) = \frac{(2n-3)!}{2^{n-2}(n-2)!}$$

$$N_{unrooted}(n) = \frac{(2n-5)!}{2^{n-3}(n-3)!}$$

Methods

❖ **Distance-based**

a distance is a measure of the overall differences/similarities between two objects

❖ **Character-based**

a character is a characteristic that has well-defined, limited number of states

❖ **Maximum likelihood**

Finds a tree such that the likelihood of the data given the tree structure is maximum

“While disputes between the champions of the two approaches [character-based and distance-based] have often been surprisingly intense, it is fair to say that both approaches are widely used and work well with most data sets.” [2, page 85]

I. Distance-based reconstruction

- Let D_{ij} be the pairwise distance between two species; for instance, measured by comparing, in some ways, **sequence data** from the two species.

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- ❖ Distance-based methods seek to find a tree (**topology + branch length**) such the D_{ij} and the d_{ij} , for all i and j , are in **good agreement**.

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- Let d_{ij} be the distance between i and j in some **tree**; the sum of the length of all the branches on the unique path between i and j .
- Distance-based methods seek to find a tree (**topology + branch length**) such the D_{ij} and the d_{ij} , for all i and j , are in **good agreement**.
- For instance, find a tree minimizing

$$Q = \sum_{i=1}^{n-1} \sum_{j=i+1}^n w_{ij} (D_{ij} - d_{ij})^2 \quad (1)$$

Minimum least squares approach.

I. Distance-based reconstruction

If the tree topology was given, the problem would simply be to find the length of the branches minimizing Equation 1, which is a quadratic function.

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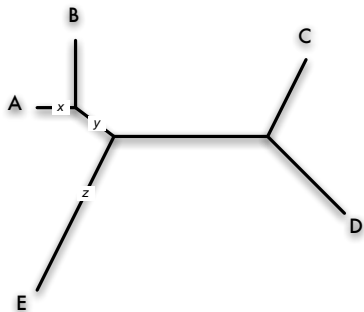
The d_{ij} need to be expressed as the sum of the length of the branches, x , on the unique path between i and j , \mathcal{P}_{ij} .

$$Q = \sum_{i=1}^{n-1} \sum_{j=i+1}^n w_{ij} (D_{ij} - \sum_{x \in \mathcal{P}_{ij}} x)^2 \quad (2)$$

and

$$d_{ij} = \sum_{x \in \mathcal{P}_{ij}} x$$

I. Distance-based reconstruction



$$d_{AE} = x + y + z$$

I. Distance-based reconstruction

This involves solving the set of linear equations obtained by taking the derivative of Q with respect to the branch lengths and equating those to 0.

$$\frac{dQ}{dx} = -2 \sum_{i=1}^n \sum_{j=1}^n w_{ij} (D_{ij} - \sum_{x \in \mathcal{P}_{ij}} x) = 0$$

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Methods for finding exact solutions for the weighted and unweighted least squares branch length equations have been proposed that run in polynomial time (order 3 or less), and iterative/heuristic methods have also been proposed, which in practice converge rapidly towards the the correct lengths.

I. Distance-based reconstruction

➤ What's next?

I. Distance-based reconstruction

- ❏ What's next?
- ❏ Well, we assumed that the topology was known!

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- ❖ In principle, it would be possible to enumerate all tree topologies, solve the branch length equations for each one, and select the topology that minimizes the least squares.

I. Distance-based reconstruction

- ❖ What's next?
- ❖ Well, we assumed that the topology was known!
- ❖ In principle, it would be possible to enumerate all tree topologies, solve the branch length equations for each one, and select the topology that minimizes the least squares.
- ❖ This is not practical since the number of topologies grows rapidly w.r.t. the number of species.
[The same approaches as for parsimony methods for enumerating tree topologies can be applied. However, under certain assumptions, a simple algorithm, UPGMA, performs adequately.]

I. Distance-based reconstruction

Given n species and m characters.

1. Compute a distance matrix between all ($\Theta(n^2)$) pairs, this matrix is symmetrical;
2. Generate the topology of the tree;
3. Estimate the length of the branches.

Distance-based reconstruction

- For now, let's assume a simple distance measure, e.g. **Hamming distance** or **fractional alignment difference** ($\frac{D}{L}$) where D is the number of sites that differ (excluding indel containing sites), L is the number of sites
- More realistic models will be presented together with the Maximum Likelihood approach

Distance-based reconstruction

```
a GTGCTGCACGG CTCAGTATA GCATTTACCC TTCCATCTTC AGATCCTGAA
b ACGCTGCACGG CTCAGTGCG GTGCTTACCC TCCCATCTTC AGATCCTGAA
g GTGCTGCACGG CTCGGCGCA GCATTTACCC TCCCATCTTC AGATCCTATC
d GTATCACACGA CTCAGCGCA GCATTTGCC TCCCGTCTTC AGATCCTAAA
e GTATCACATAG CTCAGCGCA GCATTTGCC TCCCGTCTTC AGATCTAAAA
```

Build a multiple sequence alignment and **select columns**.

⇒ [2, page 87]

Distance-based reconstruction

Species	α	β	γ	δ	ϵ
α	0	9	8	12	15
β	9	0	11	15	18
γ	8	11	0	10	13
δ	12	15	10	0	5
ϵ	15	18	13	5	0

The above matrix has been filled by computing the Hamming distance for all pairs of sequences.

⇒ [2, page 87]

UPGMA

Unweighted Pair Group Method using Arithmetic averages*

{ Initialization }

Assign each species i to its own cluster C_i

Define one leaf of T for each species, place it at height zero.

{ Iterations }

Find the pair of clusters i and j which minimises d_{ij} .

Define a new cluster $C_k = C_i \cup C_j$.

Calculate d_{kl} for all l .

Create the parent node k of i and j at height $d_{ij}/2$ in T .

Add k to the current list of clusters and remove i and j .

{ Termination }

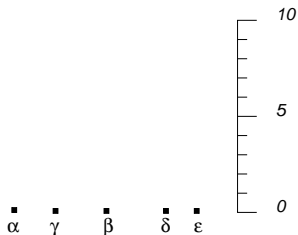
Stop when the list of clusters contains only one entry.

⇒ Early 1960s, simple and intuitive.

*See [6, page 166]

Distance-based reconstruction

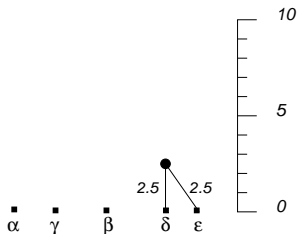
Species	α	β	γ	δ	ϵ
α	0	9	8	12	15
β	9	0	11	15	18
γ	8	11	0	10	13
δ	12	15	10	0	5
ϵ	15	18	13	5	0



⇒ Assign each species to its own cluster, place it at height 0.

Distance-based reconstruction

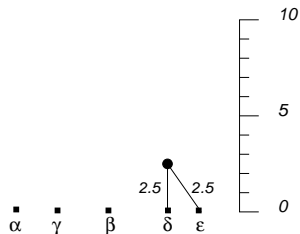
Species	α	β	γ	δ	ϵ
α	0	9	8	12	15
β	9	0	11	15	18
γ	8	11	0	10	13
δ	12	15	10	0	5
ϵ	15	18	13	5	0



\Rightarrow Find the pair of clusters which minimises $d_{i,j}$, define a new cluster $C_k = C_i \cup C_j$, create the parent node k at height $d_{i,j}/2$.

Distance-based reconstruction

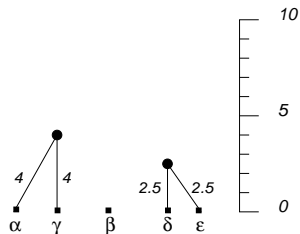
Species	α	β	γ	(δ, ϵ)
α	0	9	8	13.5
β	9	0	11	16.5
γ	8	11	0	11.5
(δ, ϵ)	13.5	16.5	11.5	0



\Rightarrow Calculate $d_{k,l}$ for all l , where $d_{i,j} = \frac{1}{|C_i||C_j|} \sum_{p \in C_i, q \in C_j} d_{pq}$.

Distance-based reconstruction

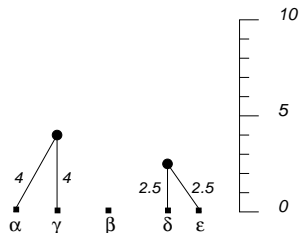
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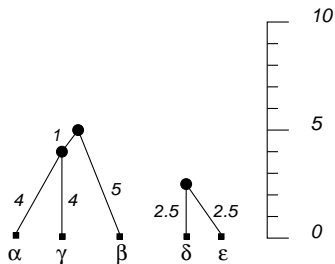
Species	(α, γ)	β	(δ, ϵ)
(α, γ)	0	10	12.5
β	10	0	16.5
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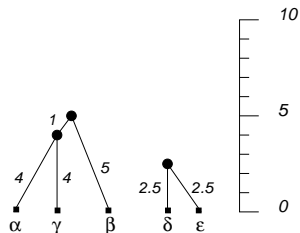
Species	(α, γ)	β	(δ, ϵ)
(α, γ)	0	10	12.5
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Distance-based reconstruction

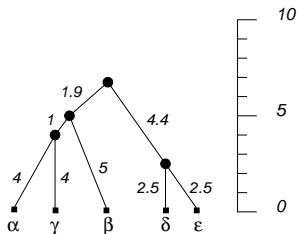
Species	$((\alpha, \gamma), \beta)$	(δ, ϵ)
$((\alpha, \gamma), \beta)$	0	$13.8\bar{3}$
(δ, ϵ)	$13.8\bar{3}$	0



\Rightarrow Calculate $d_{k,l}$ for all l , where $d_{i,j} = \frac{1}{|C_i||C_j|} \sum_{p \in C_i, q \in C_j} d_{pq}$.

Distance-based reconstruction

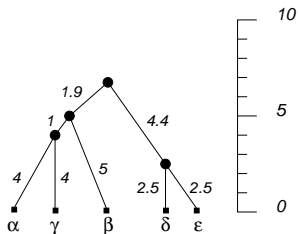
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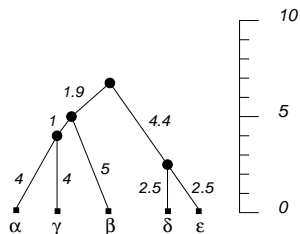
Species	$(((\alpha, \gamma), \beta), (\delta, \epsilon))$
$(((\alpha, \gamma), \beta), (\delta, \epsilon))$	0



⇒ UPGMA produces **ultrametric** trees, a tree such that the distance from any internal node (including the root) to its descendant leaves is the same. Thus, UPGMA assumes that evolution proceeds at the same rate in all the lineages, this is called the **molecular clock hypothesis**.
(An assumption that is often violated)

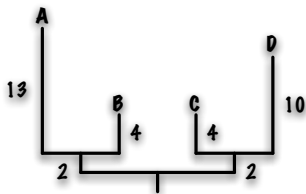
Distance-based reconstruction

Species	α	β	γ	δ	ϵ
α	0	9	8	12	15
β	9	0	11	15	18
γ	8	11	0	10	13
δ	12	15	10	0	5
ϵ	15	18	13	5	0



\Rightarrow Consider $d_T(\alpha, \beta)$ and $d_{\alpha, \beta}$. Is $d_T(i, j) = d_{i, j}$ for all i, j ?

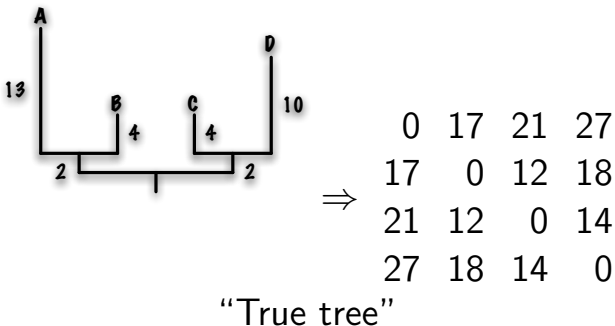
UPGMA — failure of the molecular clock hypothesis



“True tree”

Felsenstein 2004, page 167

UPGMA — failure of the molecular clock hypothesis

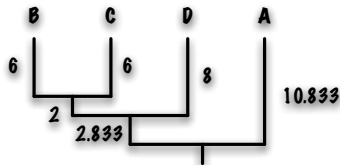


Felsenstein 2004, page 167

UPGMA — failure of the molecular clock hypothesis

0	17	21	27
17	0	12	18
21	12	0	14
27	18	14	0

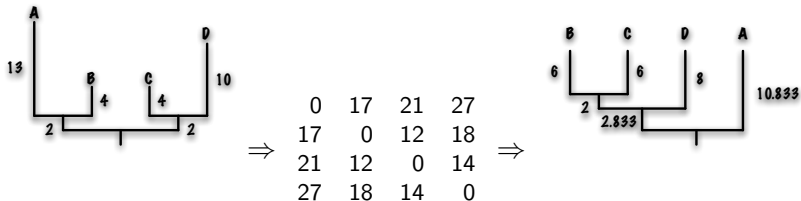
⇒



Reconstructed tree

Felsenstein 2004, page 167

UPGMA — failure of the molecular clock hypothesis



UPGMA joins B and C together since both are evolving slowly (short branch attraction).

Distance-based tree reconstruction

- Given a tree T , a distance matrix $d_{i,j}$ is *additive* if $d_T(i,j) = d_{i,j}$, and *nonadditive* otherwise;
- UPGMA has no guarantee to produce “additive” trees;
- UPGMA produces *ultrametric* trees, i.e. a tree such that the distance from the root to any leaf is the same;
- UPGMA assumes that evolution proceeds at a fixed constant rate, the so called molecular clock hypothesis;
- Other distance-based methods, such as the *neighbour-joining* algorithm, do not assume the existence of a molecular clock; good approximation of the least squares methods, fast (works well with hundreds of species).

Distance matrix

- ✚ The distance matrix needs to be a **metric**.
 - ✚ **Symmetric:** $D_{i,j} = D_{j,i}$ and $D_{i,i} = 0$;
 - ✚ **Triangle inequality:** $D_{i,j} + D_{j,k} \geq D_{i,k}$.

Distance matrix

- The distance matrix is **additive** iff there exist a phylogeny such that:
 - $d_{i,j} \geq 0$;
 - $\forall i, j, D_{i,j} = d_{i,j}$.

Here $d_{i,j}$ is the sum of all the weights along the path from i to j in the tree **T**.

Additive Tree Reconstruction

If \mathbf{D} is an **additive** matrix, the corresponding additive tree \mathbf{T} is unique and it can be reconstructed in $\mathcal{O}(n^2)$, where n is the number of species.

- ❖ Wing-Kin Sung (2010) Algorithms in Bioinformatics: A Practical Introduction. Chapman & Hall/CRC. Chapter 7. QH 324.2 .S86 2010

Neighbour-Joining algorithm

1. Let $Z = \{\{1\}, \{2\}, \dots, \{n\}\}$ be the initial set of clusters;
 2. For all $\{i\}, \{j\} \in Z$, set $d(\{i\}, \{j\}) = D_{i,j}$;
 3. **for** $i = 2$ to n **do**
 - 3.1 For all cluster $A \in Z$, set $u_A = \frac{1}{n-2} \sum_{D \in Z} d(D, A)$;
 - 3.2 Find $A, B \in Z$ minimizing $d(A, B) - u_A - u_B$;
 - 3.3 Let C be the new cluster formed by connecting A and B to a new root r . Let (r, r_A) be $\frac{1}{2}d(A, B) + \frac{1}{2}(u_A - u_B)$ and (r, r_B) be $\frac{1}{2}d(A, B) + \frac{1}{2}(u_B - u_A)$;
 - 3.4 Set $Z = Z \cup \{C\} \setminus \{A, B\}$;
 - 3.5 For all $D \in Z \setminus \{C\}$, let
$$d(D, C) = d(C, D) = \frac{1}{2}(d(A, D) + d(B, D) - d(A, B));$$
 4. **end for**
- ❖ Wing-Kin Sung (2010), Page 189.

Summary

- Distance-based methods are taking as **input** a **multiple sequence alignment** (n sequences by m columns). A **distance** is calculated for each pairwise alignment in order to fill an $n \times n$ (distance) matrix. The **distance matrix** is used to **infer the topology** of a tree, as well as the length of its branches.

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- Neighbour-joining** is the most widely used distance-based approach. It produces un-rooted, additive (but not necessarily ultrametric) trees. It is an iterative algorithm that requires $\mathcal{O}(n^3)$ time.
- Distance-based methods are fast!**

Remarks

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- ❖ **Information is lost when reducing a pairwise alignment to a single number!** In particular, the reconstructed tree says nothing about the “characters” of the internal nodes (ancestors) and the evolutionary events that occurred along its branches.
- ❖ Hence the need for **alternatives**.

References



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Pensez-y!

L'impression de ces notes n'est probablement pas nécessaire!